

PCT REQUEST

Print Out (Original in Electronic Form)

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared Using	PCT Online Filing Version 3.50 (Build 0001.162)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	European Patent Office (EPO) (RO/EP)
0-7	Applicant's or agent's file reference	1235WOORD01
I	Title of Invention	COMPLIANCE MONITOR AND METHOD
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name:	ALTANA PHARMA AG
II-5	Address:	Byk-Gulden-Str. 2 78467 Konstanz Germany
II-6	State of nationality	DE
II-7	State of residence	DE
II-8	Telephone No.	+49- (0) 7531-84-5338
II-9	Facsimile No.	+49- (0) 7531-84-5321
II-10	e-mail	DEKON.IPPA-DE@altanapharma.com
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	FINK-WAGNER, Antje-Henriette
III-1-5	Address:	Blarerstr. 18 78462 Konstanz Germany
III-1-6	State of nationality	DE
III-1-7	State of residence	DE

PCT REQUEST

Print Out (Original in Electronic Form)

III-2	Applicant and/or inventor	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	US only
III-2-4	Name (LAST, First)	JONGEJAN, Roberto
III-2-5	Address:	Linnaeusparkweg 111 1098 CV AMSTERDAM Netherlands
III-2-6	State of nationality	NL
III-2-7	State of residence	NL
III-3	Applicant and/or inventor	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	FERRIS, Christopher
III-3-5	Address:	Stotfold Road, 33b Arlesey SG15 6XL United Kingdom
III-3-6	State of nationality	GB
III-3-7	State of residence	GB
IV-1	Agent or common representative; or address for correspondence	
	The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	KRATZER, Bernd
IV-1-2	Address:	c/o ALTANA Pharma AG, Byk-Gulden-Str. 2 78467 Konstanz Germany
IV-1-3	Telephone No.	+49(0)7531-84-5338
IV-1-4	Facsimile No.	+49(0)7531-84-5321
IV-1-5	e-mail	DEKON.IPPA-DE@altanapharma.com
IV-1-6	Agent's registration No.	0955419
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	RUPP, Herbert(00052372.0); WOLF, Ulrich(052382.9); WILD, Robert(099982.1)

PCT REQUEST

Print Out (Original in Electronic Form)

V	DESIGNATIONS		
V-1	The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.		
VI-1	Priority claim of earlier national application		
VI-1-1	Filing date	24 September 2003 (24.09.2003)	
VI-1-2	Number	0322361.7	
VI-1-3	Country	GB	
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)	
VIII	Declarations	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	2	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	

PCT REQUEST

Print Out (Original in Electronic Form)

VIII-2-1	<p>Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name (LAST, First)</p>	<p>in relation to this international application</p> <p>ALTANA PHARMA AG is entitled to apply for and be granted a patent by virtue of the following:</p>
VIII-2-1(i) i)		ALTANA PHARMA AG is entitled as employer of the inventor, FINK-WAGNER, Antje-Henriette
VIII-2-1(i) i)		ALTANA PHARMA AG is entitled as employer of the inventor, JONGEJAN, Roberto
VIII-2-1(i) v)		an assignment from Team Consulting Ltd. to ALTANA PHARMA AG, dated 30 January 2004 (30.01.2004)
VIII-2-1(i) x)	This declaration is made for the purposes of:	all designations except the designation of the United States of America

PCT REQUEST

Print Out (Original in Electronic Form)

VIII-2-2	<p>Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name (LAST, First)</p>	<p>in relation to this international application</p> <p>Team Consulting Ltd. is entitled to apply for and be granted a patent by virtue of the following:</p>
VIII-2-2(i) i)		Team Consulting Ltd. is entitled as employer of the inventor, FERRIS, Christopher
VIII-2-2(i) x)	This declaration is made for the purposes of:	all designations except the designation of the United States of America

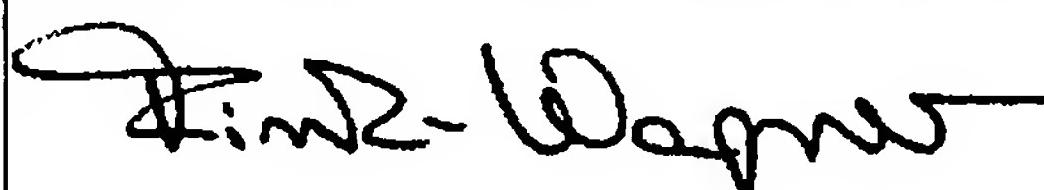
PCT REQUEST

Print Out (Original in Electronic Form)

VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1-1	Prior applications:	

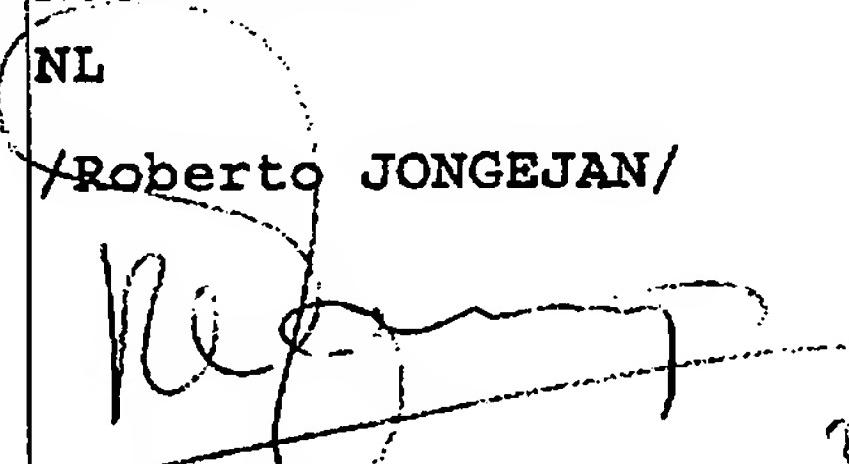
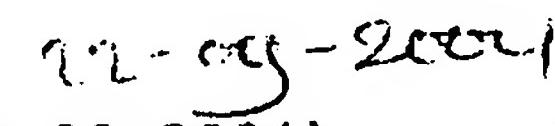
PCT REQUEST

Print Out (Original in Electronic Form)

	<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>	
VIII-4-1- 1-1	Name (LAST, First)	
VIII-4-1- 1-2	Residence: (city and either US State, if applicable, or country)	
VIII-4-1- 1-3	Mailing address:	
VIII-4-1- 1-4	Citizenship:	
VIII-4-1- 1-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1- 1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	
	FINK-WAGNER, Antje-Henriette Konstanz, Germany Blarerstr. 18 D-78462 Konstanz Germany DE /Antje-Henriette FINK-WAGNER/  04. October 04.10. 22 September 2004 (22.09.2004)	

PCT REQUEST

Print Out (Original in Electronic Form)

VIII-4-1- 2-1	Name (LAST, First)	JONGEJAN, Roberto
VIII-4-1- 2-2	Residence: (city and either US State, if applicable, or country)	CV-AMSTERDAM, Netherlands
VIII-4-1- 2-3	Mailing address:	Linnaeusparkweg 111 NL-1098 CV AMSTERDAM Netherlands
VIII-4-1- 2-4	Citizenship:	NL
VIII-4-1- 2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	 Roberto JONGEJAN/ 
VIII-4-1- 2-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	22 September 2004 (22.09.2004)
VIII-4-1- 3-1	Name (LAST, First)	FERRIS, Christopher
VIII-4-1- 3-2	Residence: (city and either US State, if applicable, or country)	Arlesey, United Kingdom
VIII-4-1- 3-3	Mailing address:	Stotfold Road, 33b Arlesey SG15 6XL United Kingdom
VIII-4-1- 3-4	Citizenship:	GB
VIII-4-1- 3-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	/Christopher FERRIS/
VIII-4-1- 3-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	22 September 2004 (22.09.2004)

PCT REQUEST

Print Out (Original in Electronic Form)

VIII-4-1- 2-1	Name (LAST, First)	JONGEJAN, Roberto
VIII-4-1- 2-2	Residence: (city and either US State, if applicable, or country)	CV AMSTERDAM, Netherlands
VIII-4-1- 2-3	Mailing address:	Linnaeusparkweg 111 NL-1098 CV AMSTERDAM Netherlands
VIII-4-1- 2-4	Citizenship:	NL
VIII-4-1- 2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	/Roberto JONGEJAN/
VIII-4-1- 2-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	22 September 2004 (22.09.2004)
VIII-4-1- 3-1	Name (LAST, First)	FERRIS, Christopher
VIII-4-1- 3-2	Residence: (city and either US State, if applicable, or country)	Arlesey, United Kingdom
VIII-4-1- 3-3	Mailing address:	Stotfold Road, 33b Arlesey SG15 6XL United Kingdom
VIII-4-1- 3-4	Citizenship:	GB
VIII-4-1- 3-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	/Christopher FERRIS/ <i>Christopher Farris</i>
VIII-4-1- 3-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	22 September 2004 (22.09.2004) 6 October 2004 (06.10.2004)

PCT REQUEST

Print Out (Original in Electronic Form)

IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	9	✓
IX-2	Description	8	✓
IX-3	Claims	3	✓
IX-4	Abstract	1	✓
IX-5	Drawings	9	✓
IX-7	TOTAL	30	
	Accompanying Items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	-	✓
IX-11	Copy of general power of attorney	-	reference no. 00026160.2
IX-17	PCT-SAFE physical media	-	-
IX-19	Figure of the drawings which should accompany the abstract	4	
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative	(PKCS7 Digital Signature)	
X-1-1	Name (LAST, First)	KRATZER, Bernd	
X-1-2	Name of signatory		
X-1-3	Capacity		

FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--